



(12) **EUROPEAN PATENT APPLICATION**

(43) Date of publication:
28.04.1999 Bulletin 1999/17

(51) Int Cl.⁶: **A23P 1/08, A23J 3/30,
B65D 65/46**

(21) Application number: **98308645.5**

(22) Date of filing: **22.10.1998**

(84) Designated Contracting States:
**AT BE CH CY DE DK ES FI FR GB GR IE IT LI LU
MC NL PT SE**
Designated Extension States:
AL LT LV MK RO SI

(30) Priority: **25.10.1997 GB 9722519**

(71) Applicant: **CERESTAR HOLDING BV
NL-4550 AA Sas Van Gent (NL)**

(72) Inventors:
• **De Meuter, Pascale Adolphine Emilienne
1801 Vilvoorde, Peutie (BE)**
• **De Sadeleer, Jos Willy Ghislain Corneel
3220 Holsbeek (BE)**
• **Röper, Harald Wilhelm Walter
1180 Bruxelles (BE)**

(74) Representative: **Wilkinson, Stephen John et al
Stevens, Hewlett & Perkins
1 St. Augustine's Place
Bristol BS1 4UD (GB)**

(54) **Extrusion of hydrolysed proteins**

(57) The present invention relates to protein based films, coatings or foils. The films coatings or foils of the present invention are obtained after extrusion and contain hydrolysed protein or hydrolysed protein mixtures.

The present invention also relates to a process for the formation of protein based films, coatings or foils. The films are usable as packaging material in general, particular applications relate to the packaging of food or feed.

DescriptionField of the invention

[0001] The present invention relates to protein based films, coatings or foils. The present invention also relates to a process for the formation of protein based films, coatings or foils. The films are usable as packaging material in general, particular applications relate to the packaging of food or feed.

Background of the invention

[0002] There is a growing interest in producing packaging material in the form of films, coatings or foils which are at the same time biodegradable and edible. Coatings, foils and films, hereinafter collectively indicated as films, made from proteins or fractions of proteins isolated from naturally occurring mixtures, have been extensively described in the literature. Such products are generally prepared starting from a suspension. After the evaporation of the solvents, a film is obtained. One method used to make gluten containing films, is based on suspending the protein in a mixture of an organic solvent, such as ethanol and water, at an acid or an alkaline pH. The suspension is poured onto a support; the solvents are then evaporated to obtain a film. These so-called casted films have desirable properties and can be used as packaging material. The same technique is also applied for other proteins and hydrolysed proteins.

[0003] This film-forming technique is for example illustrated in US patent 3,653,925 wherein dried wheat gluten are dispersed in an alkaline medium consisting of alcohol and water. The dispersion is then applied to solid substrates, including food and then dried to form an edible coating.

[0004] However, casting film-forming solutions is not an easy and economically feasible technique on industrial scale. The use of large amounts of organic solvents makes this procedure expensive. These solvents also damage the environment and the health of people, furthermore organic solvents also entail safety risks.

[0005] In order to circumvent the problem of the use of organic solvents it is suggested in European patent application EP 0 593 123 to prepare foils or coatings on the basis of water-insoluble proteins. Evaporation of water then immediately results in film formation and organic solvents are not used in this case.

[0006] From the literature it is also known that mixtures comprising proteins or certain isolated fractions of proteins, in the presence of plasticizers, can be extruded. The presence of water and/or another plasticizer, reduces the glass transition temperature of gluten, so that the product can be extruded at a temperature below the breakdown temperature. The main advantage of this procedure, in contrast to casting, is that it overcomes the risks of working with large amounts of organic solvents, such as ethanol. Furthermore extrusion is also a continuous process that can be automated. Another advantage is that the same techniques as used for synthetic polymers can be applied to extrude and afterwards injection mould the proteins. No additional investment is needed for the plastics industry to produce biodegradable films consisting mainly of proteins.

[0007] The application of extrusion on proteins is described for example in UK patent 1,320,953. This patent relates to a process for preparing a granular seasoning which comprises a hydrolyzate or extract of plant or animal protein. US patent 3,682,661 discloses the extrusion of a dispersion of an undenatured film-forming vegetable protein through an annular die into a suitable coagulating bath and treating the resultant tube with a suitable tanning agent. The patent specifically describes the use of this process for preparing a sausage casing. The extrusion treatment is performed under mild conditions so as to avoid denaturation of the protein.

UK patent 2,203,928 discloses a method for producing an edible material wherein a solution of cellulose having a crystal form of cellulose II and a polypeptide or polysaccharide are mixed in an extruder and pressed into an coagulating bath, neutralised, washed and dried. Casting was used to obtain throughout the examples.

[0008] Although it is suggested in the literature that casting can be replaced by extrusion no successful attempts to make protein-based films have been disclosed.

[0009] In fact, when attempting to do so, the present inventors have found that the thermo-formation of proteinaceous material during the process or afterwards gives problems, due to shrinkage of the product. It is this problem which is solved by the present invention.

Summary of the invention

[0010] The present invention discloses a protein based film obtained by a physical-mechanical treatment comprising a hydrolysed protein or hydrolysed mixture of proteins. Preferably, the physical-mechanical treatment is extrusion. The hydrolysed protein may be prepared before extrusion or extrusion is performed under such conditions that the protein is simultaneously hydrolysed.

[0011] The protein-based film, contains a protein selected from the group consisting of hydrolysed vegetable proteins from cereals such as for instance wheat, in particular wheat gluten, maize, barley, rye, millet, hydrolysed proteins from

tuberous plants such as for instance potatoes, hydrolysed proteins from pulse such as soya, peas, beans or hydrolysates of animal proteins such as collagen, gelatin, lactoproteins, egg proteins, blood proteins, modified forms of the mentioned proteins and combinations thereof.

[0012] The present invention further discloses a method for producing a protein based film, coating or foil characterized by the following steps :

- a) a protein hydrolysate is prepared or a protein or protein mixture is used as such,
- b) the protein or protein hydrolysate is treated by a physical-mechanical process optionally in the presence of an emulsifier and/or plasticizer and/or acid,
- c) the treated product is formed into a film.

[0013] The physical-mechanical treatment is preferably extrusion. Preferably, the process of the present invention is performed on a protein or protein mixture which due to hydrolyses or to the addition of an emulsifier or plasticizer has a glass transition temperature (T_g) of 50 °C or lower.

Detailed description of the invention

[0014] The present inventors have unexpectedly found that films with excellent properties can be produced by physical-mechanical treatment of hydrolysed proteins. Preferably, the physical-mechanical treatment is extrusion. It is however understood that, since extrusion involves mechanical energy input and this is combined with heating, other processes, wherein the same amount of energy is conveyed to the material, can equally well be used.

[0015] The present inventors have found that whereas extrusion of non-hydrolysed proteins results in irregularly shaped films, the thermo-formation of hydrolysed proteins into films results in films with beneficial properties.

The properties of these extruded films are comparable with films obtained by casting. Until now hydrolysed proteins have only been extruded for other applications such as the production of granular seasoning.

[0016] The present invention relates to a new and useful process for preparing films comprising hydrolysed proteins. Preferably, the protein is chosen from the group consisting of hydrolysed vegetable proteins from cereals such as for instance wheat, in particular wheat gluten, maize, barley, rye, millet, hydrolysed proteins from tuberous plants such as for instance potatoes, hydrolysed proteins from pulse such as soya, peas, beans or hydrolysates of animal proteins such as collagen, gelatin, lactoproteins, egg proteins, blood proteins, and combinations thereof. Another group of materials which are successfully used in the present invention are modified proteins.

[0017] It may be advantageous to add an additive, which is chosen from the group comprising emulsifiers and/or plasticizers and/or acids, as extrusion aid.

[0018] The inventors have discovered that hydrolysed protein films may be prepared by an extrusion process. This is a commercially practicable method. The films formed by this process are found to have desirable characteristics which makes them useful for various applications. The films of the present invention are usable in the confectionery, fruits and vegetables, meat, and pharmaceutical industries. They are for instance suitable as edible protection against loss or migration of one of the ingredients in foodstuff. The films are biodegradable. This aspect is of great importance for the packaging industry, which is very interested in this type of material, since it could solve part of the environmental problems we are struggling with today.

[0019] The present invention provides a new method of manufacturing a foil, coating or film based on hydrolysed proteins comprising the steps of:

- a) physical-mechanical treatment of a composition containing a hydrolysed protein at suitable conditions of temperature, pressure and plasticiser/emulsifier amount,
- b) followed by a thermo-forming step such as injection moulding or compression. Preferably, the physical-mechanical treatment is extrusion. However, other methods such a mechanical kneading are also usable.

The extruder can also be equipped with a die so that the extruded product is immediately formed into a film. This process is the so-called extrusion moulding. Although it is herein described that the process of the present invention starts from hydrolysed proteins it is evident that with a suitable choice of the reaction conditions it becomes possible to start with undenatured proteins which will hydrolyse upon extrusion.

[0020] The hydrolysed proteins used can be both of vegetable or of animal origin or combinations thereof, also modified proteins are used.

[0021] The extrusion process can be improved by adding additives such as emulsifiers, plasticisers, acids or combinations thereof. These products can be mixed with the hydrolysed protein before the extrusion or they can be dosed separately during the extrusion. The combination of (un) hydrolysed and/or modified proteins together with the added emulsifier(s), and/or plasticizer(s) and/or acids preferably results in a composition having a glass transition temperature

which is below 50°C.

[0022] The properties of the obtained coating, foils or films, such as mechanical properties (stress, elongation and strain), colour, organoleptic qualities and permeability to gas, water, aroma, preservative or /and fat can be controlled by adding the correct additives such as plasticisers, hydrophobic substances, aromatic substances, colorants and flavourings.

[0023] Plasticisers are used to make the material more flexible. The plasticisers can be chosen for instance in the group consisting of fatty acids (derivatives), phtalates, sebacates, citrates, water, high molecular alcohols, triethanolamine, lactamides, phospholipids, mono-, di-, and oligosaccharides, acids, polyols or derivatives like polyethylene glycol and glycerol or combinations thereof. In a preferred embodiment the plasticiser is a food-compatible and/or degradable substance such as glycerol. The plasticiser is preferably added in a concentration of between 0.5% and 45%, more preferably in a concentration between 5% and 30%. A glass transition temperature below or near room temperature gives flexible films. If the amount of plasticiser is low only materials with a glass transition temperature above room temperature are produced, as a consequence they are brittle. During the extrusion, part of the water can be removed by applying vacuum.

[0024] Hydrophobic substances are added to reduce the moisture permeability of the material. They are chosen from the group of for instance oils, fats, waxes, emulsifiers or/and combinations thereof. Hydrophobicity is also influenced by the choice of the protein which may moreover be modified if necessary.

[0025] With a view to improve the strength of the films cellulosic or synthetic fibers are added to the composition before extrusion. The type of fiber plays an important role as well as the amount added. The length of the fibers can be reduced during the extrusion process, depending on the extrusion parameters. The compatibility between the hydrolysed proteins and the fibers has to be good to have an impact on the mechanical properties of the films. Addition of fibers also decreases the strain of the samples. Tailor made materials can be produced by changing the amount and the type of fibers.

[0026] In addition to the method, the invention further provides films, foils and coatings whereof the properties can be adapted to the desired application by a suitable choice of the additives.

[0027] The extrusion conditions have an influence on the properties of the material. The extrusion temperature is between 70°C and 160°C, more preferably between 100°C and 130°C. The extrusion can be done in both a single or a twin screw extruder. The shape of the die at the outlet of the extruder can be changed to obtain films of different sizes. Films are also formed by using other types of equipment and can for example be obtained by spinning or by calendaring.

[0028] The hydrolysis of the proteins is achieved by standard methods i.e. enzymatic or acid hydrolysis. It is shown that depending on whether hydrolysis is partial or complete the amount of plasticiser needed varies, more plasticiser being needed when the hydrolysis is less complete.

[0029] It was observed that the films obtained from hydrolysed proteins notably gluten do not shrink and are therefore much more regular in form. The films prepared from hydrolysed gluten have a much better transparency and are also stronger. Finally, it is also possible to use a mixture of hydrolysed and non-hydrolysed protein it was observed that up to 75 % of non-hydrolysed protein can be used, preferably the amount of non-hydrolysed protein was about 50 %. In such a case the favourable film characteristics of the present invention could still be found.

[0030] Compared with the known casting process the physical-mechanical process (such as the extrusion process) has many advantages. The extrusion process does not use organic solvents which are dangerous and environmentally unfriendly. The extrusion process is also much easier to be automated.

[0031] When the characteristics of the films of the examples of the present description and which are prepared from hydrolysed wheat gluten are compared with films made from unhydrolysed (vital) gluten it is observed that the extrusion product obtained from hydrolysed gluten does hardly shrink after preparation and has a much regular surface. The product made from the hydrolysed gluten is much more regular and more transparent this product stays more flexible upon storage. The product also has a higher tearing strength and is less brittle.

[0032] This opens up a whole new range of applications. Apart from packaging for (individual) protection of fruit, vegetables, meat and confectionery products the material can also be used to separate components or layers in food products and thereby to control internal moisture transfer. This is possible because the hydrolysed protein based films are edible. Examples are in deep freeze pizza's (dough separated from tomato sauce), pies and confectionery products (ice separated from baked product).

[0033] The present invention will be further illustrated on the basis of a number of examples. By the word "film" used in the examples is meant a coating as well as a foil. The examples focus on the use of hydrolysed wheat gluten but are performed in a similar manner when starting from other types of protein hydrolysates.

Example 1Extrusion of hydrolysed gluten and film forming capabilities

[0034] The extrusion of 96g/min hydrolysed gluten and 53g/min glycerol was performed with a Werner & Phleiderer extruder, type ZSK25. The L/D ratio was set to 42. The number of barrel sections can be selected and thereby the total processing length can be varied. In the present case the barrel had five temperature sections, which were controlled separately. The first 4 barrels were of equal length, the fifth one was only half the length of the previous ones. The barrels were heated electrically and water was used for cooling. The temperature profile used was 40°C/120°C/135°C/90°C/40°C. The screw speed was adjusted to 200 rpm. The vent port at the fourth section was closed.

[0035] At the outlet the material was collected between two Teflon films, equipped with four spacers to adjust the thickness of the film. Twenty grams of the material was pressed to a film at 350 bar and 55°C.

[0036] The quality of the film was determined by comparing the degree of deformation after compression.

Table 1.

Influence of the type of gluten or protein on film quality			
Type of gluten	dosage(g/min): gluten	Dosage(g/min): Glycerol	quality of the film
hydrolysed gluten (strong, DH* = 9%)	87	46	very good
hydrolysed gluten (weak, DH = 5%)	87	46	very good
vital gluten	62	40	strong deformation
gliadins	49	33	when pressure is released
glutenins	40	46	
deamidated gluten	87	46	

* DH is the degree of hydrolysis of the proteinaceous material as determined by the so-called OPA-method. This method is based on the absorption at 340 nm of the alkylisoindole formed between primary NH₂-groups and orthophthaldialdehyde (OPA) in the presence of N,N-dimethyl-2-mercaptoethyl-ammoniumchloride (DMA). (see for example Schmidt D.G. and A.J.P.M.Robben, VMT(1993),19: 13-15)

[0037] Due to strong S-S bridges in most of the gluten, the form stability was very weak, giving problems in the thermo-formation of these materials.

[0038] Plasticizer is added to decrease the glass transition temperature. Note that since the molecular weight of hydrolysed gluten is lower than for the other samples, less plasticizer is needed to reduce the glass transition temperature. As is apparent from Table 1 the hydrolysed gluten both when strongly or weakly hydrolysed result in films having a good quality.

Example 2Extrusion of hydrolysed gluten influence of plasticizer

[0039] Extrusion as described in Example 1 was repeated using different amounts and types of plasticizer.

Table 2.

Influence of the plasticizer content on film quality			
Type of gluten	dosage(g/min): gluten	Dosage(g/min):	quality of the film
hydrolysed gluten (strong, DH = 9%)	146	Glycerol/water	too low viscosity
	146	0/65	good but becomes brittle
	146	0/22	good
	146	32/22	too low viscosity
	87	15/43	good
		46/0	
hydrolysed gluten (weak, DH = 5%)	123		good
	143	32/22	good

Table 2. (continued)

Influence of the plasticizer content on film quality			
Type of gluten	dosage(g/min): gluten	Dosage(g/min):	quality of the film
	143	15/43	good but becomes brittle
	130	0/65	good but becomes brittle
	130	0/43	bad
	87	0/22	good
		46/0	

[0040] The glass transition temperature of strong hydrolysed gluten is lower than of weak hydrolysed gluten. The consequence is that less plasticizer is needed for strong hydrolysed gluten.

Products where water is added as plasticizer, become brittle during storage. This problem does not occur if glycerol is used.

Example 3

Extrusion of vital gluten influence of adding hydrolysed protein

[0041] Extrusion as described in Example 1 was repeated using vital gluten, vital gluten mixed with starch and vital gluten mixed with hydrolysed gluten.

Table 3.

Influence of mixing			
Type of gluten	dosage(g/min): gluten	Dosage(g/min):glycerol	quality of the film
vital gluten	64	40	large deformation after release of the
vital gluten + waxy corn starch (1:1)	40	46	pressure
vital gluten + hydrolysed gluten (1:1)	64	30	almost no deformation

[0042] Addition of hydrolysed gluten to vital gluten results in a product with almost no deformation.

Example 4

Extrusion of hydrolysed gluten influence of fibers

[0043] Extrusion was performed as described in Example 1 using different types of fibers.

Table 4.

Influence of type of fiber on film properties			
Dosage(g/min): hydrolysed gluten	dosage(g/min): glycerol	dosage(% on dry base): fibers	quality of the film
64	34	0	good quality
64	34	3% cellulose	good quality
64	34	5% flax	increased stress decreased strain

[0044] No difference was noticed by adding 3% cellulose to the film. The addition of long flax fibers on the other hand had an influence on the mechanical properties of the hydrolysed gluten films.

Claims

1. A protein-based film, coating or foil wherein

- 5 a) the film, coating or foil is prepared by extrusion of a protein composition, and
 b) the film, coating or foil comprises a hydrolysed protein or a hydrolysed protein mixture.

2. A protein-based film, coating or foil according to claim 1 wherein the protein or protein mixture is hydrolysed prior to the extrusion.

3. A protein-based film, coating or foil according to claim 1 wherein the protein is selected from the group consisting of hydrolysed vegetable proteins from cereals such as wheat, in particular wheat gluten, maize, barley, rye, millet, hydrolysed proteins from tuberous plants such as potatoes, hydrolysed proteins from pulse such as soya, peas, beans or hydrolysates of animal proteins such as collagen, gelatine, lactoproteins, egg proteins, blood proteins, modified forms of the mentioned proteins and combinations thereof.

4. A protein-based film, coating or foil according to claim 1 wherein the composition further contains an emulsifier and/or a plasticiser and/or an acid.

5. A protein-based film, coating or foil according to claim 4, wherein the combination of (un)hydrolysed and/or modified protein and the emulsifier and/or plasticiser and/or acid is chosen in such a way that the glass transition temperature is below 50°C.

6. A protein-based film, coating or foil according to claim 5 further containing cellulose or synthetic fibers.

7. A method for producing a protein-based film, coating or foil characterized by the following steps :

- a) a protein hydrolysate is prepared or a protein or protein mixture is used as such,
 b) the protein or protein hydrolysate is subjected to extrusion optionally in the presence of an emulsifier, plasticizer or acid,
 c) the treated product is formed into a film.

8. A method according to claim 7 wherein the extruder comprises a film forming die and the extrusion is performed at a temperature of between 70 and 160 °C.



European Patent
Office

EUROPEAN SEARCH REPORT

Application Number
EP 98 30 8645

DOCUMENTS CONSIDERED TO BE RELEVANT			
Category	Citation of document with indication, where appropriate, of relevant passages	Relevant to claim	CLASSIFICATION OF THE APPLICATION (Int.Cl.6)
D,X	GB 2 203 928 A (ASAHI CHEMICAL IND) 2 November 1988 * page 10, line 13 - line 23 * * page 17, line 29 - page 18, line 13 * * page 23, line 15 - line 17 * * claims 1,3,5,6,8,21 *	1-6	A23P1/08 A23J3/30 B65D65/46
Y	----	7,8	
D,Y	GB 1 320 953 A (TAKEDA CHEMICAL INDUSTRIES LTD) 20 June 1973 * page 2, line 49 - line 90; claims 1-5,7,8 *	7,8	
A	EP 0 328 317 A (TAKEDA CHEMICAL INDUSTRIES LTD) 16 August 1989 * page 3, line 31 - line 55 * * claims 1,4,7 *	1-8	
A	WO 96 34538 A (OPTA FOOD INGREDIENTS INC) 7 November 1996 * page 10, line 15 - line 17 * * page 11, line 5 - line 10 * * page 11, line 26 - page 12, line 4 * * page 12, line 14 - line 19 *	1,4,7	TECHNICAL FIELDS SEARCHED (Int.Cl.6) A23P A23J B65D
D,A	EP 0 593 123 A (LATENSTEIN ZETMEEL) 20 April 1994 * claims 1-4,7-9,13-17 *	1,4	
The present search report has been drawn up for all claims			
Place of search THE HAGUE		Date of completion of the search 5 February 1999	Examiner Dekeirel, M
<p>CATEGORY OF CITED DOCUMENTS</p> <p>X : particularly relevant if taken alone Y : particularly relevant if combined with another document of the same category A : technological background O : non-written disclosure P : intermediate document</p> <p>T : theory or principle underlying the invention E : earlier patent document, but published on, or after the filing date D : document cited in the application L : document cited for other reasons & : member of the same patent family, corresponding document</p>			

**ANNEX TO THE EUROPEAN SEARCH REPORT
ON EUROPEAN PATENT APPLICATION NO.**

EP 98 30 8645

This annex lists the patent family members relating to the patent documents cited in the above-mentioned European search report.
The members are as contained in the European Patent Office EDP file on
The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

05-02-1999

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
GB 2203928 A	02-11-1988	WO 8802991 A	05-05-1988
		JP 7061239 B	05-07-1995
		US 4994285 A	19-02-1991
GB 1320953 A	20-06-1973	CA 922964 A	20-03-1973
		CH 563126 A	30-06-1975
		FR 2056439 A	14-05-1971
		US 3711301 A	16-01-1973
EP 0328317 A	16-08-1989	CN 1036967 A	08-11-1989
		JP 1289457 A	21-11-1989
WO 9634538 A	07-11-1996	US 5736178 A	07-04-1998
		AU 5918196 A	21-11-1996
		CA 2217992 A	07-11-1996
		EP 0830070 A	25-03-1998
		US 5705207 A	06-01-1998
EP 0593123 A	20-04-1994	NL 9201805 A	16-05-1994
		AT 161693 T	15-01-1998
		DE 69316143 D	12-02-1998
		DE 69316143 T	16-04-1998
		ES 2112382 T	01-04-1998
		GR 3026462 T	30-06-1998